

Atty. Dkt. CRUI/0011

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neil A. A. Simpson, et al.

Serial No.: 10/809,036

Confirmation No.: 5819

Filed:

March 25, 2004

For:

**Tubing Expansion** 

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

*๛๛๛๛๛๛๛๛* Group Art Unit: 3723

Examiner:

Unknown

Customer No.: 36735

## CERTIFICATE OF MAILING

37 CFR 1.8

I hereby certify that this correspondence is being deposited with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2004

Sharlyn Guthrie Typed Name

Signature

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

1

08/20/2004 JBALINAN 00000061 200782 10809036

01 FC:1806

180.00 DA

295699\_1

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/CRUI/0011/WCG.

Respectfully submitted,

Walter C. Grollitsch

Registration No. 48,678

MOSER, PATTERSON & SHERIDAN, L.L.P.

3040 Post Oak Blvd. Suite 1500

Houston, TX 77056

Telephone: (713) 623-4844 Facsimile: (713) 623-4846 Attorney for Applicants

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Franction Act & 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

AUG 2 0 2004

INFORMATION DISCOSURE, STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/809,036
Filing Date	March 25, 2004
First Named Inventor	Neil A. A. Simpson
Group Art Unit	3723
Examiner Name	Unknown
Attorney Docket Number	CRUI/0011
Submission Date	Aug. 1 18 2004

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	A1	US-6,263,968	07-24-2001	Freeman et al.		
	A2	US-4,619,129	10-28-1986	Petkov et al.		
-,	A3	US-2,096,234	10-19-1937	Erwin		
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				
	A12	US-				
	A13	US-				
	A14	US-				
	A15	US-				
	A16	US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	B1	WO 03/048503	06-12-2003	Simpson et al.		
	B2	WO 03/015954	02-27-2003	Oosterling et al.		
	В3	WO 02/103150	12-27-2002	Simpson et al.		
	B4	WO 02/081863	10-17-2002	Simpson et al.		
	B5	WO 00/37766	06-29-2000	Simpson		·
	В6	EP 0 952 306	10-27-1999	Lohbeck		
	B7	WO 98/00626	01-08-1998	Donnelly et al.		
	B8	EP 0 041 835	12-16-1981	Finch		

Examiner Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

persons are required to respond to a collect	tion of information unless it displays a valid OWB control numb		
Application Number	10/809,036		
Filing Date	March 25, 2004		
First Named Inventor	Neil A. A. Simpson		
Group Art Unit	3723		
Examiner Name	Unknown		
Attorney Docket Number	CRUI/0011		
Submission Date	August 18, 2004		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		U.K. Search Report, Application No. GB0406694.0, dated June 17, 2004	
		U.K. Search Report, Application No. GB0406693.2, dated June 11, 2004	
		U.K. Search Report, Application No. GB0406520.7, dated April 29, 2004	
L			

Examiner	Date Considered

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.